

**HANOI TRANSFORMER AND
ELECTRICAL MATERIALS
MANUFACTURING JOINT STOCK
COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No. 3007/BTH/CBTT

Hanoi, July 30, 2025

**REPORT ON CORPORATE GOVERNANCE SITUATION
IN THE FIRST 6 MONTHS OF 2025**

Dear: - State Securities Commission
- Hanoi Stock Exchange

- Listed company name: HANOI TRANSFORMER AND ELECTRICAL MATERIALS MANUFACTURING JOINT STOCK COMPANY
- Head office address: No. 55, K2 Street, Cau Dien Ward, Nam Tu Liem District, Hanoi City
- Phone: 0243 7644795 Fax : 0243 7644796 Email : ctbt - hn@hn.vnn.vn
- Charter capital: VND 250,000,000,000
- Stock code: BTH

I. Activities of the General Meeting of Shareholders:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions:

Status	Resolution Number	Day	Content
1	2106-2025/NQ-ĐHĐCĐ/BTH	June 21, 2025	Resolution of the Annual General Meeting of Shareholders 2025

II. Board of Directors in 2025:

1. Information about Board of Directors (BOD) members:

Status	Member of the Board of Directors	Position	Date of Appointment/Dismiss	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
1	Nguyen Thi Bich Ngoc	Chairman of BOD	June 21, 2025 (dismiss date)	3	75%	Dismissed on 21/06/2025
2	Trinh Viet Dung	BOD member	June 21, 2025 (dismiss date)	3	75%	Dismissed on 21/06/2025
3	Nguyen Van Sinh	BOD member	June 21, 2025 (dismiss date)	3	75%	Dismissed on 21/06/2025
4	Do Thi Ngoc	Chairman of BOD	June 21, 2025 (appointment date)	1	25%	Appointed on 21/06/2025

Status	Member of the Board of Directors	Position	Date of Appointment/Dismiss	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
5	Tran Huu Thai	BOD member	June 21, 2025 (appointment date)	1	25%	Appointed on 21/06/2025
6	Hoang Ngoc Kien	BOD member	June 21, 2025 (appointment date)	1	25%	Appointed on 21/06/2025

2. Supervisory activities of the Board of Directors towards the Board of Directors: The Board of Directors has deployed and regularly supervised the management and operation of the implementation of the Company's approved production and business plan targets.

Monitor and grasp the situation weekly, monthly, quarterly, check and evaluate performance results through meetings; Issue resolutions and decisions to direct the implementation of tasks in accordance with the actual situation.

3. Activities of subcommittees under the Board of Directors: None

4. Resolutions/Decisions of the Board of Directors for the first 6 months of 2025:

Status	Resolution/Decision Number	Day	Content
1	3103-2025/NQ-HĐQT	March 31, 2025	Extension of deadline to hold the 2025 Annual General Meeting
2	2804-2025/NQ-HĐQT	April 28, 2025	Approval of the date of the 2025 Annual General Meeting
3	2805-2025/NQ-HĐQT	May 28, 2025	Approval of agenda and documents for the 2025 Annual General Meeting

III. Board of Control :

1. Information about the members of the Board of Supervisors (BOS) :

Status	Member of the Board of Supervisors	Position	Date of Appointment/Dismiss	Number of Board of Supervisor s meetings attended	Meeti ng attend ance rate	Reason for not attending the meeting
1	Dinh Hoang Long	Head of BOS	May 26, 2015 (reappointed on June 21, 2025)	1	100%	
2	Trinh Thu Quynh	Member	November 15, 2016 (reappointed on June 21, 2025)	1	100%	

Status	Member of the Board of Supervisors	Position	Date of Appointment/ Dismiss	Number of Board of Supervisor s meetings attended	Meeti ng attend ance rate	Reason for not attending the meeting
3	Phan Thu Hang	Member	August 28, 2020 (reappointed on June 21, 2025)	1	100%	

2. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders: The Board of Supervisors fully participates in meetings of the Board of Directors to grasp the Company's operating situation.

The Board of Supervisors performs:

- Supervise the Board of Directors and Management in operating and managing the Company, ensuring compliance with legal regulations and company charter;
- Monitor the Company's business operations and financial situation;
- Discuss quarterly, 6-month and annual financial reports with the Board of Directors;
- Report and evaluate annual performance results to the Board of Directors;
- Supervise the implementation and disclosure of information of the Company in accordance with the provisions of the Securities Law and relevant legal documents.

3. Coordination of activities between the Board of Supervisors and the activities of the Board of Directors, the Executive Board and other management staff:

The Board of Supervisors received close cooperation from the Board of Directors through being provided with full and clear information related to production and business activities and corporate governance in the Board of Directors meetings. The Board of Supervisors frankly contributed opinions on issues that needed to be discussed, analyzed, and evaluated together to make the most optimal decisions.

The Board of Directors and staff of the Company have provided information as requested, creating all favorable conditions for the Board of Supervisors to successfully complete its tasks.

4. Other activities of the Board of Directors: None

IV. Corporate governance training: None

V. List of related persons of the listed company as prescribed in Clause 34, Article 6 of the Law on Securities and transactions of related persons of the company with the Company itself:

1. List of related persons of the company:

Status	Name of organization/ individual	Securities Trading Account	Position in the company	Start Date as Related Person	End Date as Related Person	Reason
1	Nguyen Thi Bich Ngoc		Chairman of BOD	May 30, 2017 reappointed on April 26, 2019	Dismissed on June 21, 2025	Term Expired

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Status	Name of organization/ individual	Securities Trading Account	Position in the company	Start Date as Related Person	End Date as Related Person	Reason
2	Trinh Viet Dung		BOD Member		Dismissed on June 21, 2025	Term Expired
3	Nguyen Van Sinh		BOD Member		Dismissed on June 21, 2025	Term Expired
4	Do Thi Ngoc		Chairman of BOD	Appointed on June 21, 2025		
5	Hoang Huu Thai		BOD Member	Appointed on June 21, 2025		
6	Hoang Ngoc Kien		Board of Directors	Appointed on June 21, 2025		
7	Thach Anh Duc		General Director	August 1, 2020		
8	Do Thi Ngoc		Deputy General Director	05/01/2022	Dismissed on June 21, 2025	
9	Dinh Hoang Long		Head of BOS	May 26, 2015 reappointed on June 21, 2025		
10	Trinh Thu Quynh		BOS Member	November 15, 2016 reappointed on June 21, 2025		
11	Phan Thu Hang		BOS Member	August 28, 2020 reappointed on June 21, 2025		
12	Le Thi Thu Huong		Chief Accountant	Appointed on September 1, 2020		

2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, and related persons of insiders: None

3. Transactions between insiders of listed companies, related persons of insiders and subsidiaries, companies controlled by listed companies: None

4. Transactions between the company and other entities:

4.1. Transactions between the company and companies in which the members of the Board of Directors, members of the Board of Supervisors, and the Executive Director (General Director) have been and are founding members or members of the Board of Directors, Executive Director (General

Director) within the past three (03) years (as of the time of reporting): None

4.2. Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Board of Supervisors, and Executive Director (General Director) are members of the Board of Directors, Executive Director (General Director): None

4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, and Executive Director (General Director): None

VI. Stock transactions of insiders and related persons of insiders:

1. List of insiders and related persons of insiders:

Status	Full name	Securities trading account (if any)	Position in the company (if any)	Contact address	Number of shares owned at the end of the period	Ownership Ratio at the end of period	Note
1	Do Thi Ngoc		Chairman of BOD	HUD3 Apartment Building No. 60 Nguyen Duc Canh, Hoang Mai, Hanoi	0	0%	
2	Hoang Ngoc Kien		BOD Member	PH06 Hoang Thanh tower, 114 Mai Hac De, Le Dai Hanh ward, Hai Ba Trung, Hanoi	4,833,091	19.33%	
2.1	Hoang Ngoc Quan		Older brother	83 Ly Thuong Kiet, Cua Nam Ward, Hoan Kiem District, Hanoi	1,279,018	5.12%	
3.	Tran Huu Thai		BOD Member	56 Alley 40 Ta Quang Bui, Bach Khoa, Hanoi	0	0%	
4	Dinh Hoang Long		Head of BOS	No. 47/548, Ng Van Cu Street, Long Bien District, Hanoi	0	0%	
6	Trinh Thu Quynh		BOS Member	No. 1A Dang Thai Than Street, Hanoi City	0	0%	
7	Phan Thu Hang		BOS Member	No. 15, Alley 39/1 Phao Dai Lang, Lang Thuong, Dong Da, Hanoi	0	0%	

Status	Full name	Securities trading account (if any)	Position in the company (if any)	Contact address	Number of shares owned at the end of the period	Ownership Ratio at the end of period	Note
8	Le Thi Thu Huong		Chief Accountant	P 107, B 13 Bach Mai Hospital, Phuong Mai Ward, Dong Da District, Hanoi	49,034	0.196%	
9	Thach Anh Duc		General Director	TT 404 Lang Road, Lang Thuong, Dong Da, Hanoi	0	0%	

2. Transactions of insiders and related persons on shares of listed companies:

Mr. Hoang Ngoc Kien reduced shares from 4,847,091 shares to 4,833,091 shares

Ms. Le Thi Thu Huong reduced her ownership shares from 124,534 shares to 49,034 shares.

Mr. Hoang Ngoc Quan reduced shares from 1,290,718 shares to 1,279,018 shares

Ms. Do Thi Ngoc reduced her shares owned from 10,000 shares to 0 shares.

VII. Other issues to note: None

**BOARD OF DIRECTORS
CHAIRMAN**



DO THI NGOC